Receipt date: 11/06/2006 10769907 - GAU: 1792

Attorney's Docket No.: 07977-302002 / US5504D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al. Art Unit: 1763
Serial No.: 10/769,907
Examiner: Unknown
Conf. No.: 9780

Title : FILM FORMATION APPARATUS AND FILM FORMATION METHOD

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 11/6/04

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/adt 40376939.doc John F. Hayden Reg. No. 37,640 Receipt date: 11/06/2006

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Sheet <u>1</u> of <u>1</u>

Substi (Modif	tute Form PTO-1449 ied)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-302002	Application No. 10/769,907	
Information Disclosure Statement by Applicant			Applicant Shunpei Yamazaki et al.		
(37 CF	(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date February 3, 2004	Group Art Unit 1763	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,817,366	10/06/1998	Arai et al.			07/29/1996
	AB	6,179,923	01/30/2001	Yamamoto et al.			08/18/1998
	AC						
_	AD						
	AE						
	AF					_	
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.		Publication	Country or		Sub-	Transla	
Initial	ID	Document Number	Date	Patent Office	Class	class	Yes	No
	AL	JP2000-277256	06 OCT 2000	JAPAN			Abstract	
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
	AQ				
	AR				
	AS				
	AT				

Examiner Signature /Binh Tran/	Date Considered 09/08/2008					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						